



Export Control Compliance Statement

To all employees of Southchip and business partners:

As a leading and domestic company of analog and embedded chip design, Southchip Semiconductor Technology (Shanghai) Co., Ltd. together with its subsidiaries and branches (hereinafter referred to as "**Southchip**"), hereby solemnly declares and covenants to strictly comply with the relevant requirements of applicable laws and regulations regarding export control and economic sanctions (hereinafter referred to as "**Export Control**") in every jurisdiction where we operate. All Southchip's products and offerings - including software, hardware, services, and technologies - shall fully comply with export control laws and regulations applicable.

Southchip hereby mandates all employees, business units, and branches understand and strictly observe and comply with obligations of export control, with strict adherence to the following principles:

First, all personnel are prohibited from engaging in business dealings with entities in sanctioned jurisdictions, which currently include Iran, Syria, North Korea, Cuba, Crimea region, Luhansk region, Donetsk region, and Sevastopol.

Second, without the requisite license, do not export, re-export, transfer, or be deemed to



export controlled items to restricted nations, regions, or designated entities.

Third, under no circumstances shall the controlled items be utilized for prohibited end-uses, including but not limited to military applications, without proper approvals.

Southchip further urges its customers, suppliers, agents, and other business partners to observe and comply with applicable laws and regulations of export control.

Southchip shall review and verify the background of entities with due diligence to ensure compliance with applicable laws and regulations of export control, for customers, suppliers, and business partners. All suppliers and business partners providing hardware, software, or technology to Southchip should submit export control classification documents, including but not limited to Export Control Classification Numbers (ECCN), and supporting documents during the process of selling, supplying, or licensing items subject to applicable laws and regulations of export control.

Southchip's management is fully committed to supporting export control compliance initiatives. Southchip pledges to allocate adequate resources and professional expertise to establish a comprehensive export compliance management framework. Through business process integration, Southchip embeds compliance checkpoints into key operational areas including R&D, procurement, supply chain management, sales operations, and financial transactions.



The Legal Affairs Department of Southchip shall assume overall responsibility for the company's compliance of export control:

1. Regulatory Mapping & Documentation: Identifies and categorizes compliance obligations, systematically evaluates export control risks, and develops formal compliance policies and procedural guidelines.
2. Operational Implementation: Creates department-specific compliance protocols that clearly delineate operational responsibilities and performance expectations for each functional unit.
3. Accountability Structure: Requires each head of a department as the primary compliance officer responsible for compliance measures within their respective units and ensuring procedural adherence.
4. Compliance Assurance Program: Conducts mandatory training programs for employees to strengthen regulatory awareness and maintains compliance vigilance through periodic internal audits and risk assessments.

All employees, business units and branches of Southchip should fully understand and implement the company's compliance policies and requirements of export control, and accurately recognize the serious consequences of violating laws and regulations of export control . For example, the company may be deprived of export rights, listed on the sanctions list, fined, and its reputation may be damaged. In the event that Southchip's employees



commit an violation or are aware of potential violations but fail to report them to the company, they may be subject to corresponding disciplinary actions.

If Southchip's employees have any questions about the requirements of this compliance policy or the legality and compliance of a specific transaction, please promptly report it through the following measures:

Email: legal@southchip.com

Telephone Number: +86-21-38067888 ext. 8020.

In no event shall any employee of Southchip be subject to retaliation for making a bona fide report of actual or suspected violations.

Southchip shall review this Statement in a timely fashion and amend the same in accordance with relevant laws and regulations so as to ensure ongoing compliance with applicable domestic and foreign export control laws, regulations, and policy requirements.

This Statement shall be applicable to all employees, business units, branches, and business partners of Southchip.

Southchip Semiconductor Technology (Shanghai) Co., Ltd.

Jun 1, 2024